

# 1 Flocculation behavior, mechanism, and optimization of tailings based on 2 RSM-BBD-DF: Insight into the concentration and time-dependent floc 3 size

4 Zhenqi Wang <sup>1,4)</sup>, Aixiang Wu <sup>1,4)</sup>, Zhuen Ruan <sup>1,2,4\*)</sup>, Raimund Bürger <sup>3)</sup>, Shaoyong Wang <sup>1,4)</sup>, Yi Mo <sup>4,5)</sup>

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6 1) Key Laboratory of the Ministry of Education of China for High-efficient Mining and Safety of Metal  
7 Mines, University of Science and Technology Beijing, Beijing 100083, China

8 2) Shunde Innovation School, University of Science and Technology Beijing, Foshan 528399, China

9 3) CI<sup>2</sup>MA and Departamento de Ingeniería Matemática, Facultad de Ciencias Físicas y Matemáticas,  
10 Universidad de Concepción, Casilla 160-C, Concepción, Chile

11 4) School of Civil and Resource Engineering, University of Science and Technology Beijing, Beijing  
12 100083, China

13 5) China Railway Construction Tongguan Investment Co., Ltd, Tongling 244000, Anhui, China

14  
15 \*Corresponding authors: Zhuen Ruan, E-mail: [ustb\\_ruanzhuen@hotmail.com](mailto:ustb_ruanzhuen@hotmail.com)

16  
17 **Abstract:** Tailings thickening is the primary link and key technology of  
18 cemented paste backfill (CPB) technology, in which flocculation conditions are  
19 important factors affecting thickening effect. Conventional flocculation effects  
20 only consider macro indexes such as concentration and initial settling rate (ISR),  
21 ignoring the floc evolution. In this study, the multi-objective optimization of  
22 flocculation effect based on Response Surface Methodology-Box-Behnken  
23 Design-Desirability Function (RSM-BBD-DF) was carried out. Firstly, through  
24 the single-factor experiments, the sedimentation properties and floc size  
25 evolution under different influencing factors were analyzed. It is found that the  
26 evolution of floc size is time-dependent, which conforms to the Asym2sig model,  
27 and there is a linear relationship between test-ending floc size and ISR. The  
28 larger the floc, the higher the ISR. Through the RSM-BBD, the regression  
29 models of concentration and mean weighted chord length (MWCL) as response  
30 values were established. Multi-factors interaction found that the tailing feeding  
31 concentration (TFC) had the greatest influence on the concentration, and the  
32 shear rate (SR) had the greatest influence on the MWCL. The interaction  
33 between TFC and the flocculant solution concentration (FSC) has the highest  
34 influence on the concentration. The interaction between TFC and SR has the  
35 highest influence on the MWCL. By introducing the single Desirability Function  
36 and the overall Desirability Function, the optimization method based on RSM-  
37 BBD-DF obtained the optimal flocculation conditions, which were very close to  
38 the optimization results of Design Expert, indicating that RSM-BBD-DF  
39 optimization method is reliable. Thus, RSM-BBD-DF has important application  
40 value for multi-objective optimization in mining and other industries.

41

42 **Keywords:** Mean weighted chord length; Concentration; Time-dependent;  
43 Response Surface Methodology-Box-Behnken Design-Desirability function  
44 (RSM-BBD-DF); Multi-objective optimization

## 45 **1 Introduction**

46 Cemented paste backfill (CPB) technology is a process that mixes tailings,  
47 waste rocks, cement, red mud, fly ash, and other cementing materials with  
48 water to backfill underground goafs. This technology offers advantages of safety,  
49 environmental friendliness, economy, and high efficiency, and can achieve the  
50 effect of "treating two hazards with one waste" [1-5]. CPB technology comprises  
51 four links: thickening, mixing, pipeline transportation, and stope maintenance.  
52 Among these, tailings thickening is the primary and key technology of CPB, and  
53 tailings thickening will form high concentration from low-concentration tailings  
54 slurry in thickeners [6-10]. Tailings undergo flocculation, sedimentation,  
55 dewatering, and consolidation in the thickener. Flocculation is the key process  
56 that determines the treatment capacity of the thickener, and tailings particles  
57 and flocculants are adsorbed and bridged on the upper part of thickeners to  
58 form larger flocs [11-14]. Flocs gather many tailings particles together to form  
59 large-size flocs, which greatly improves the processing efficiency of tailings.  
60 The flocculation effect is influenced by flocculant type, particle size distribution  
61 (PSD), tailing feeding concentration (TFC), flocculant solution concentration  
62 (FSC), flocculant unit consumption (FUC), shear rate (SR), and shear time (ST)  
63 [15-17]. With the continuous progress of mineral processing technology, tailings  
64 particles are becoming ever finer, which causes the problems of difficult  
65 flocculation and substandard concentration [15,18-20]. Therefore, it is of great  
66 significance to carry out research on ultrafine tailings flocculation.

67 Important indexes to measure the tailings flocculation effect include  
68 concentration and tailings processing capacity. The concentration reaching the  
69 standard is an important prerequisite to ensure CPB mixing and pipeline  
70 transportation [15,21]. Thickening efficiency mainly depends on whether the  
71 processing speed of the thickener can meet the requirements of continuous  
72 backfilling operation, among which tailings settling rate is the key index [15,22-  
73 24]. The settling rate is mainly related to the floc size, with larger flocs having a  
74 higher settling rate [25-26]. Many scholars have carried out research on flocs,  
75 but most of them are qualitative analyses of floc structure, lacking quantitative  
76 characterization of floc size. The structure of flocs (e. g. size and quantity) is a  
77 function of shearing time, and the floc evolution is highly time-dependent.  
78 Currently, research has not quantified the time-dependence of flocs evolution.  
79 Optical testing techniques such as Focused Beam Reflectance Measurement  
80 (FBRM) and Computed Tomography (CT) are commonly used for testing floc  
81 structure [15,27-30].

82 The influence of flocculation conditions on thickening effect is complex and  
83 diverse, and it results from multiple factors. Conventional single-factor  
84 experimental methods can only determine the optimal range, which has great

85 limitations for studying the interaction between multiple factors and the  
86 optimization of flocculation conditions under multiple objectives. Response  
87 Surface Methodology-Box-Behnken Design (RSM-BBD) can better avoid the  
88 shortcomings of the above experimental design methods and can carry out the  
89 analysis of main factor effects and interactions. RSM-BBD is a very reasonable  
90 experimental design method for the multi-factor and multi-objective optimization  
91 experiment of flocculation conditions [31-33]. Wu et al. [15] conducted a study  
92 on the optimization of flocculation conditions based on BBD, and obtained the  
93 optimal flocculation conditions. Panda et al. [34] used BBD to study the effects  
94 of flocculant dosage, dispersant dosage, pH, and other flocculating conditions  
95 on the chromium tailings during flocculation. Arjmand [35] also used BBD to  
96 study the effects of FUC (5-20 g t<sup>-1</sup>), TFC (3-12 wt%), pH (4-12), and FSC (0.05-  
97 1 wt%) on thickening performance (settling rate, turbidity, and concentration).  
98 Yin et al. [36] used the orthogonal test scheme to carry out a settling column  
99 test on fine-grained unclassified tailings, analyzed the influence of unclassified  
100 tailings concentration, FUC, and FSC on concentration and settling rate, and  
101 obtained the optimal flocculation conditions.

102 The indexes used to measure the thickening effect include concentration, initial  
103 settling rate, and turbidity [15]. However, most current studies aim to optimize  
104 flocculation conditions for a single response value. Qi et al. [37] used a machine  
105 learning method to analyze and optimize the influence of 17 variables on the  
106 ISR. Pedersen et al. [38] conducted experiments on the ISR of ultra-fine tailings  
107 under different FUCs, pHs, and temperatures. Niu et al. [39] conducted  
108 experiments on the ISR of ultra-fine tailings under different flocculant dosages,  
109 pHs, and temperatures. However, no multi-objective optimization of flocculation  
110 conditions has been carried out, which makes the obtained flocculation  
111 conditions one-sided. Using MATLAB to carry out multi-objective optimization  
112 is an important method. Furthermore, the Desirability Function (DF) is also an  
113 effective multi-objective optimization method. By giving different response  
114 values corresponding weights for analysis, optimal flocculation conditions can  
115 be obtained [40-42].

116 In this study, we first analyzed the evolution of concentration, ISR, and Mean  
117 Weighted Chord Length (MWCL) under single-factor flocculation conditions.  
118 We quantitatively characterized the time dependence of floc size and analyzed  
119 the evolution mechanism of floc size with shearing time. We also quantitatively  
120 characterized the ISR-MWCL relationship. Through single-factor experiments,  
121 we obtained the reasonable range of each flocculation condition. We then  
122 carried out experiments based on RSM-BBD, established corresponding  
123 multivariate nonlinear regression models, and analyzed the interaction of  
124 flocculation factors. Finally, we obtained the optimal flocculation conditions  
125 based on the RSM-BBD-DF multi-objective optimization method.

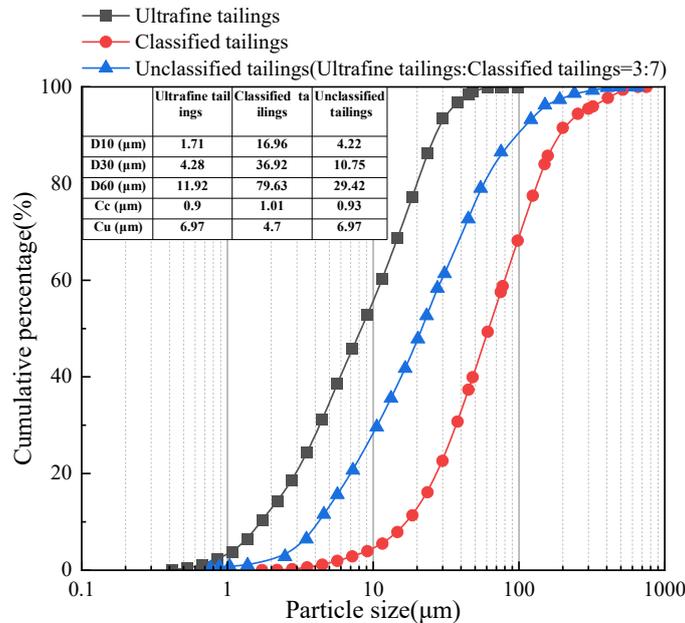
126 **2 Materials and methods**

127 **2.1 Raw materials**

128 **2.1.1 Tailings**

129 The tailings used in this study come from a lead-zinc mine in China. Based on  
130 different particle sizes, the tailings can be divided into coarse-grained tailings  
131 (classified tailings) and fine-grained tailings (ultrafine tailings). The unclassified  
132 tailings comprise 30 wt% fine-grained tailings and 70 wt% coarse-grained  
133 tailings. The PSD was analyzed by OMEC laser particle size analyzer [1,5], and  
134 the results are shown in Fig. 1.

135 As shown in Fig. 1, the particle size of ultrafine tailing does not exceed 100  $\mu\text{m}$ ,  
136 with a particle size range of 0.1-60  $\mu\text{m}$ . The proportion less than 10  $\mu\text{m}$  is more  
137 than 50 vol% and  $C_c$  (0.9) is less than 1, indicating that it belongs to fine-grained  
138 tailings. The particle size of classified tailings ranges from 1-400  $\mu\text{m}$ , with the  
139 proportion more than 100  $\mu\text{m}$  being over 30 vol%, the  $C_u$  (4.7) is less than 5,  
140 indicating that it belongs to coarse-grained tailings. The particle size of  
141 unclassified tailings ranges from 0.1-400  $\mu\text{m}$ .



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Fig. 1 Tailings particle size distribution

144 The specific gravity of fine-grained tailings and coarse-grained tailings was  
145 measured by the pycnometer method. The specific gravity of fine-grained  
146 tailings is 3.063, and that of coarse-grained tailings is 3.008. The porosity and  
147 specific surface area (SSA) of fine-grained tailings and coarse-grained tailings  
148 were analyzed. The loose porosity of fine-grained tailings is 67.14%, the dense  
149 porosity is 59.09%, and the SSA is 1405.60  $\text{m}^2\cdot\text{kg}^{-1}$ . The loose porosity of the  
150 coarse-grained tailings is 52.52%, the dense porosity is 40.16%, and the SSA  
151 is 172.86  $\text{m}^2\cdot\text{kg}^{-1}$ .

152 X-ray Fluorescence (XRF) was used to analyze the chemical components of  
153 fine-grained and coarse-grained tailings. As shown in Table 1, both fine-grained  
154 tailings and coarse-grained tailings contain high levels of CaO and MgO.

Table 1 Chemical composition of fine-grained tailings and classified tailings (wt%)

	CaO	MgO	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Fe	S	Zn	Pb
Fine-grained tailings	38.62	6.63	6.17	2.8	2.29	2.02	1.05	0.96
Coarse-grained tailings	31.26	12.45	4.68	1.79	2.97	1.25	2.43	1.17

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### 2.1.2 Flocculant

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SNF polyacrylamide (PAM) suitable for the thickening of mine tailings was selected, including one type of cationic polyacrylamide (CPAM) 350E, one type of nonionic polyacrylamide (NPAM) 6003S, and three types of anionic polyacrylamides (APAM) 625S, 645S, and 665S. The main difference among the PAMs is the molecular weight (Table 2), with 665S having the highest molecular weight, followed by 645S, 625S, 6003S, and 350E having the lowest molecular weight.

Table 2 PAM types and molecular weight

	CAPM	APAM			NPAM
Type	350E	625S	645S	665S	6003S
molecular weight ( $\times 10^4$ )	700	1100	1100	1300	1000

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### 2.1.3 Experimental water

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The water used in experiments is filtered tap water from the laboratory, and its pH is 7.0.

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## 2.2 Experimental methods

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This research experiment is divided into two stages. In the first stage, single-factor flocculation experiments were conducted to determine the reasonable range of each influencing factor. Based on the factor range, multi-factor flocculation conditions optimization was carried out using RSM-BBD-DF.

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### 2.2.1 Single-factor flocculation experiment

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The study was conducted using the flocculation experimental device shown in Fig. 2. The MWCL during flocculation was tested by FBRM [15]. Meanwhile, the ISR was tested by settling column experiments [15]. Concentration is one of the most important indexes for measuring the thickening effect.

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The single-factor flocculation experiment mainly studies the influence of PAM type, TFC, FSC, SR, and ST on MWCL, ISR, and concentration. The PAMs were described in Section 2.1.2. Then, the single-factor flocculation experiment was carried out according to the scheme in Table 3. The TFCs are 5, 10, 15, 20, and 25 wt%. The FSCs are 0.01, 0.0325, 0.055, 0.0775, and 0.1 wt%. The SRs are 80, 160, 240, 320, and 400  $\text{r}\cdot\text{min}^{-1}$ . The STs are 30, 60, 90, 120, and 150 s.

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The experiments were carried out using the experimental device shown in Fig. 2. The specific experimental process is as follows:

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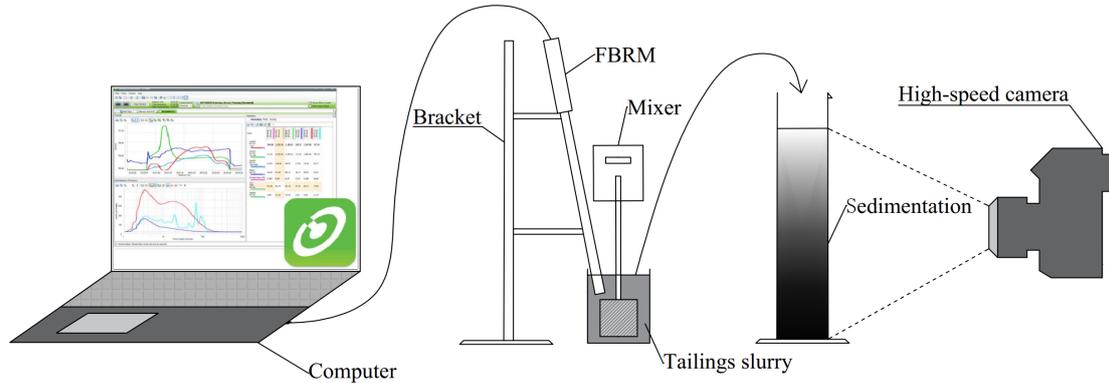
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(1) The tailings slurry was prepared according to the experimental scheme, and a mixer (IKA EUROSTAR 60 digital) was used to mix the tailings. Then, FBRM was used to test the floc size evolution. The portable Particle Track G400 was used with iC FBRM for data acquisition and transformation [43-44]. The sampling period was 2 s.

191 (2) Pour the tailings slurry after FBRM test into the settling cylinder for settling  
 192 column experiments [45]. Shoot the settling process with a high-speed camera,  
 193 record the change of the solid-liquid interface height with settling time through  
 194 playback of the video, and obtain the ISR through fitting the solid-liquid interface  
 195 height-shearing time (0-60 s) straight line. The absolute slope value is ISR. The  
 196 measuring range of the settling column cylinder is 1000 ml. After settling for 24  
 197 h, the concentration was tested.



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Fig. 2 Experimental process and methods  
 Table 3 Single-factor experimental scheme

	TFC (wt%)	FSC (wt%)	SR (r·min <sup>-1</sup> )	ST (s)
TFC (wt%)	5, 10, 15, 20, 25	15	15	15
FSC (wt%)	0.1	0.01, 0.0325, 0.055, 0.0775, 0.1	0.1	0.1
SR (r·min <sup>-1</sup> )	240	240	80, 160, 240, 320, 400	240
ST (s)	90	90	90	30, 60, 90, 120, 150

### 201 2.2.2 Multi-factor flocculation conditions optimization

202 Based on the RSM-BBD, this experiment conducts optimization research of  
 203 flocculation conditions with four factors and three levels. The experimental  
 204 factors and levels are shown in Table 4. Taking TFC, FSC, SR, and ST as  
 205 experimental factors, the TFC ranges from 10 to 25 wt%, FSC ranges from 1 to  
 206 10 wt‰, SR ranges from 80 to 400 r·min<sup>-1</sup>, and ST ranges from 30 to 150 s.  
 207 The floc size affects the ISR [25,29-30,46-47]. The larger the floc size, the  
 208 higher the thickening efficiency. At the same time, the concentration is an  
 209 important raw material for the subsequent mixing and pipeline transportation of  
 210 the CPB system. Therefore, the MWCL and concentration are taken as the two  
 211 response values to optimize the flocculation condition, and there are 29  
 212 experimental groups.

213 This experiment also uses the flocculation and sedimentation experimental  
 214 device shown in Fig. 2 to test the floc size (MWCL) and concentration.

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Table 4 RSM-BBD experimental factors and levels

Factors	Unit	-1	0	1
TFC- $x_1$	wt%	10	17.5	25

FSC- $x_2$	wt‰	1	5.5	10
SR- $x_3$	r·min <sup>-1</sup>	80	240	400
ST- $x_4$	s	30	90	150

## 216 3 Results and discussion

### 217 3.1 Influence of single factor on flocculation

#### 218 3.1.1 Influence of PAM types on flocculation

219 Under different PAM types, the solid-liquid interface first drops rapidly, then  
 220 slowly, and finally remains stable with settling time (Fig. 3(a)). PAMs 625S,  
 221 645S, 665S, 350E, and 6003S have corresponding ISRs of 2.00, 2.22, 1.31,  
 222 1.00, and 1.34 mm·s<sup>-1</sup>, respectively. APAM 645S has the highest ISR, while  
 223 CPAM 350E has the lowest ISR.

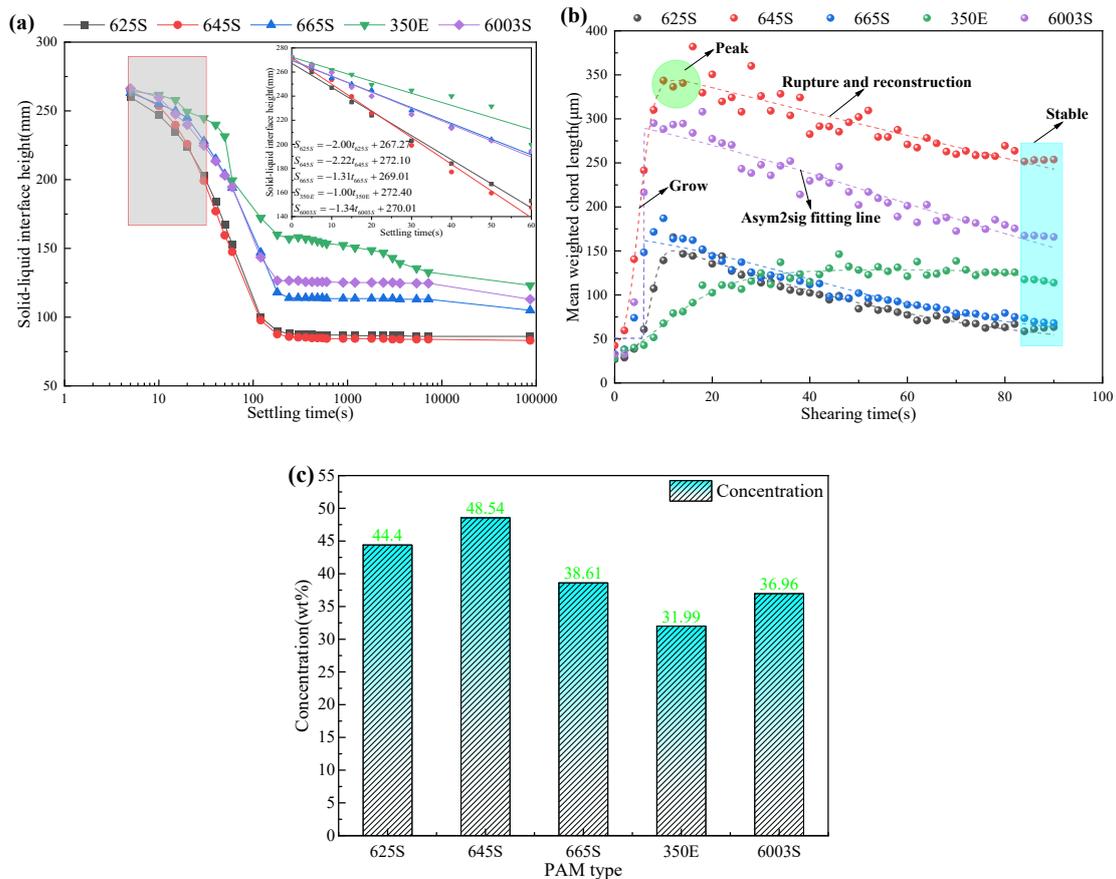
224 Fig. 3(b) shows that the MWCL of APAM 645S is higher than that of other PAMs,  
 225 and the MWCL at the end of shearing (Shearing time 90 s) is also the highest.  
 226 The MWCL quickly increases to the peak, then slowly decreases, and finally  
 227 stabilizes. In the rapid growth stage, tailings particles are mainly combined with  
 228 PAMs. After the peak, large-size flocs are broken and reconstructed into small-  
 229 size flocs under the mixer-shearing action. Finally, they reach the dynamic  
 230 process of breaking and reconstruction, which tends to be stable. Thus, the  
 231 evolution of floc size is time-dependent. Different PAMs react with different  
 232 times to reach the peak, among which CPAM 350E takes longer to reach the  
 233 peak than APAMs and NPAM, while NPAM 6003S takes the shortest time to  
 234 reach the peak. The growth-rupture-reconstruction process of flocs conforms to  
 235 the Peak Function [48-49]. Parallel multi-step process deconvolution usually  
 236 utilizes the Asymmetric Double Sigmoidal (Asym2sig). Therefore, the Asym2sig  
 237 normal distribution model of Eq. (1) is used for fitting analysis. The fitting curve  
 238 is closely related to the data points, which can reflect the time dependence of  
 239 floc size evolution. The  $t_c$  in the equation can determine the shearing time  
 240 reaching the peak value. Supporting Information (Table S1) shows the  $t_c$  value  
 241 of 350E is the highest (56.66), and that of 6003S is the lowest (5.066), which is  
 242 consistent with the shearing time reaching the peak in Fig. 3(b). Therefore, the  
 243 model can analyze the time-dependent property of floc size evolution.

$$244 \quad L = l_0 + A \cdot \frac{1}{1 + e^{-\frac{t-t_c+w_1/2}{w_2}}} \cdot \left( 1 - \frac{1}{1 + e^{-\frac{t-t_c-w_1/2}{w_3}}} \right) \quad (1)$$

245 Where  $L$  is the MWCL,  $\mu\text{m}$ ;  $t$  is the shearing time, s;  $l_0$  is the initial value of the  
 246 Asym2sig function at  $t_0$ ,  $\mu\text{m}$ ;  $A$ ,  $t_c$ ,  $w_1$  are maximum amplitude, center, and  
 247 width of the curve, respectively;  $w_2$ , and  $w_3$  are shape parameters to determine  
 248 the peak position;  $w_1 > 0$ ,  $w_2 > 0$ ,  $w_3 > 0$ .

249 Fig. 3(c) shows that the concentration corresponding to APAM 645S is the  
 250 highest (48.54 wt%), and that corresponding to CPAM 350E is the lowest (31.99  
 251 wt%). The above results show that APAM 645S is the best, with the highest ISR,

252 concentration, and MWCL. Therefore, APAM 645S is the best for flocculation  
 253 and sedimentation experiments.



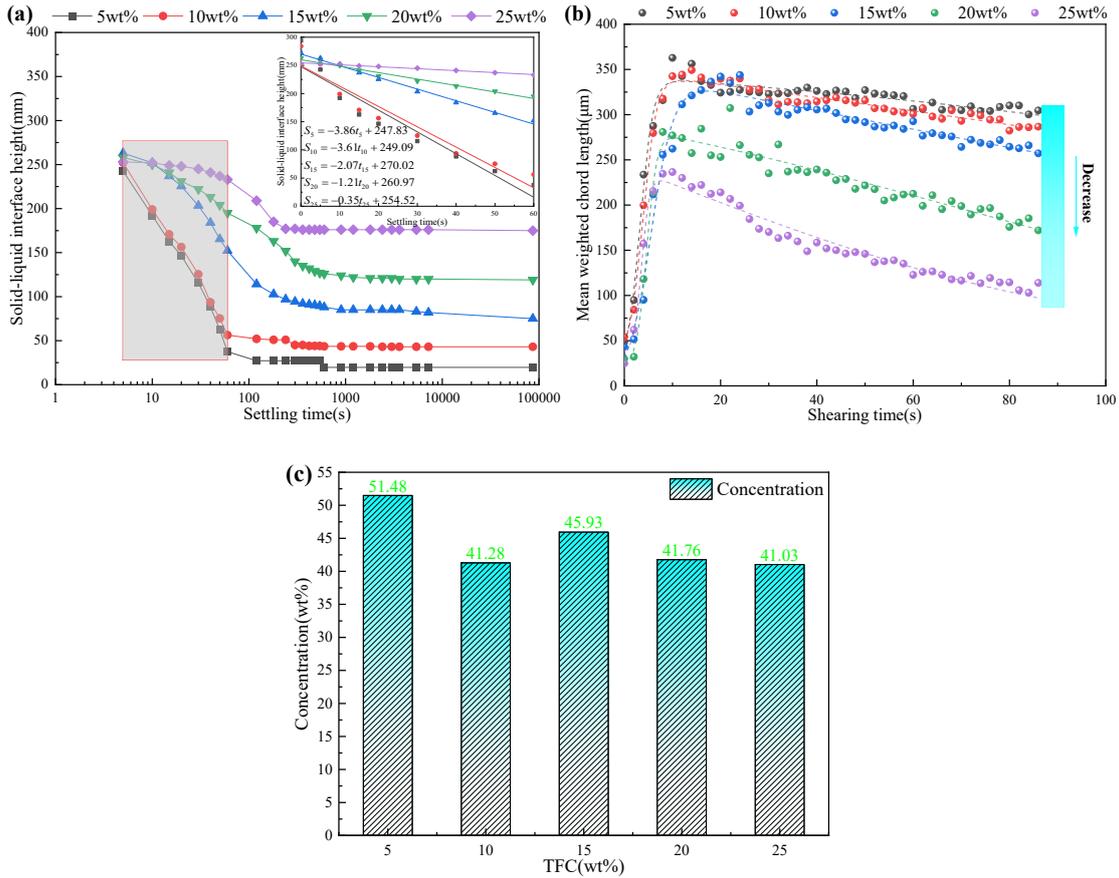
254  
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 256 Fig. 3 Flocculation, sedimentation and consolidation properties under different PAMs: (a)  
 257 Settling curves; (b) Floc size evolution; (c) Concentration

### 258 3.1.2 Influence of TFC on flocculation

259 With the increase of TFC, the settling curve moves up continuously (Fig. 4(a)).  
 260 The ISRs corresponding to TFCs of 5, 10, 15, 20, and 25 wt% are 3.86, 3.61,  
 261 2.07, 1.21, and 0.35  $\text{mm} \cdot \text{s}^{-1}$ , respectively. As TFC increases, the ISR decreases  
 262 continuously.

263 With the increasing TFC, the MWCL evolution curves move down continuously,  
 264 indicating that the higher the TFC, the smaller the flocs (Fig. 4(b)). The MWCLs  
 265 with different TFCs at the end of testing are 299.815, 284.006, 255.612,  
 266 166.952, and 97.048  $\mu\text{m}$ . With the continuous increase of TFC, the MWCL  
 267 increases. The floc size evolution curves corresponding to different TFCs also  
 268 conform to Asym2sig models (Table S1).

269 Fig. 4(c) shows that the concentrations corresponding to TFCs are 51.48,  
 270 45.93, 41.76, and 41.03 wt%, respectively. With the continuous increase of TFC,  
 271 the concentration shows a decreasing trend. Since the tailings treatment  
 272 efficiency of 5 wt% TFC is low and cannot meet the requirements of industrial  
 273 production, it is suggested that the reasonable range of TFC is 10-25 wt%.



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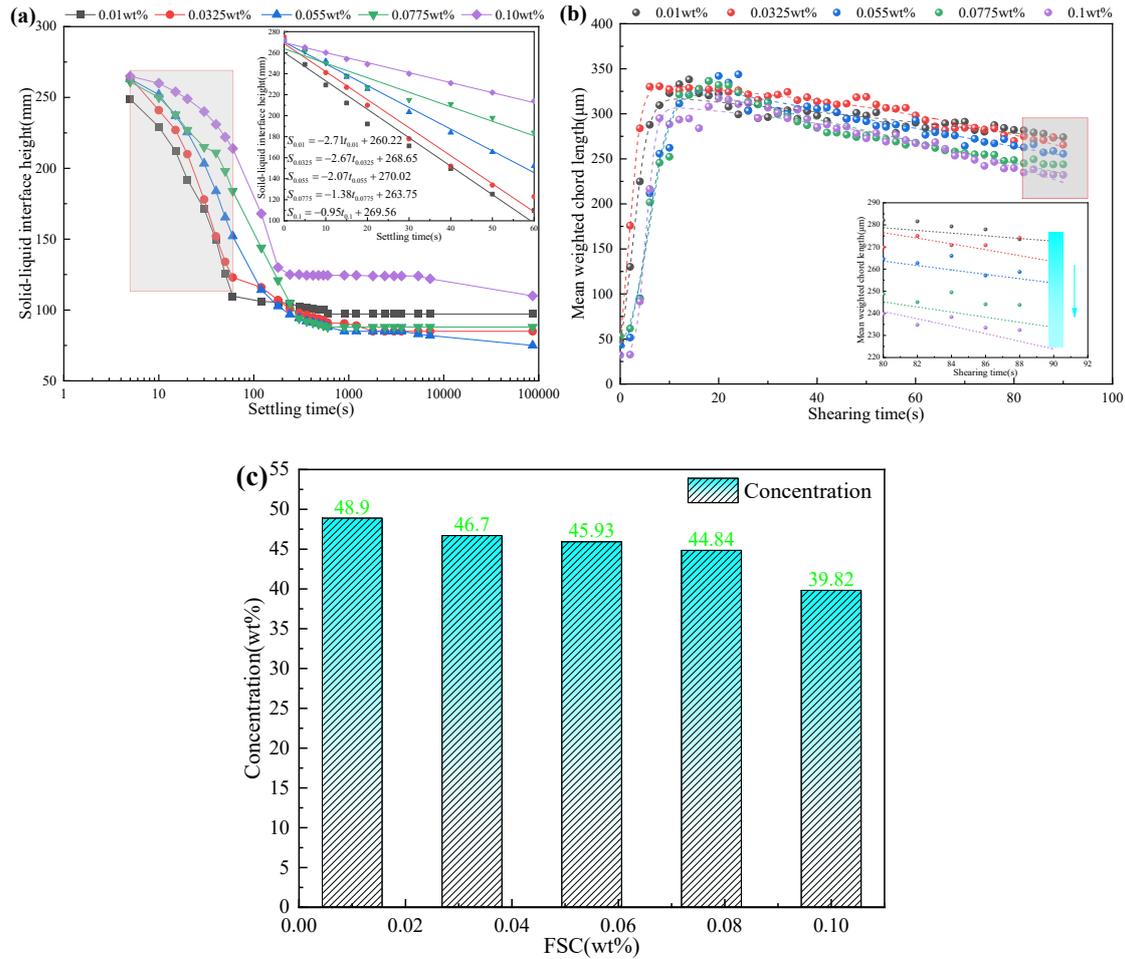
276 Fig. 4 Flocculation, sedimentation, and consolidation properties under different TFCs: (a)  
 277 Settling curves; (b) Floc size evolution; (c) Concentration

278 **3.1.3 Influence of FSC on flocculation**

279 With the increase of FSC, the settling curve moves up continuously (Fig. 5(a)).  
 280 The ISRs of FSCs 0.01, 0.0325, 0.055, 0.0775, and 0.1 wt% are 2.71, 2.67,  
 281 2.07, 1.38, and 0.95 mm·s<sup>-1</sup>, respectively. With the increase of FSC, the ISR  
 282 decreases continuously.

283 The MWCL of flocs corresponding to different FSCs at the shearing time of 90  
 284 s is 274.039, 265.612, 255.610, 243.805, and 232.092 μm, respectively (Fig.  
 285 5(b)). This law also reveals why the ISR is low when the FSC is high. The floc  
 286 evolution curves corresponding to different FSCs conform to Asym2sig models  
 287 (Table S1).

288 Fig. 5(c) shows that the concentrations corresponding to different FSCs are  
 289 48.9, 46.7, 45.93, 44.84, and 39.82 wt%, respectively. With the continuous  
 290 increase of FSC, the concentration keeps decreasing. To sum up, the  
 291 reasonable range of FSC is 0.01-0.1 wt%.



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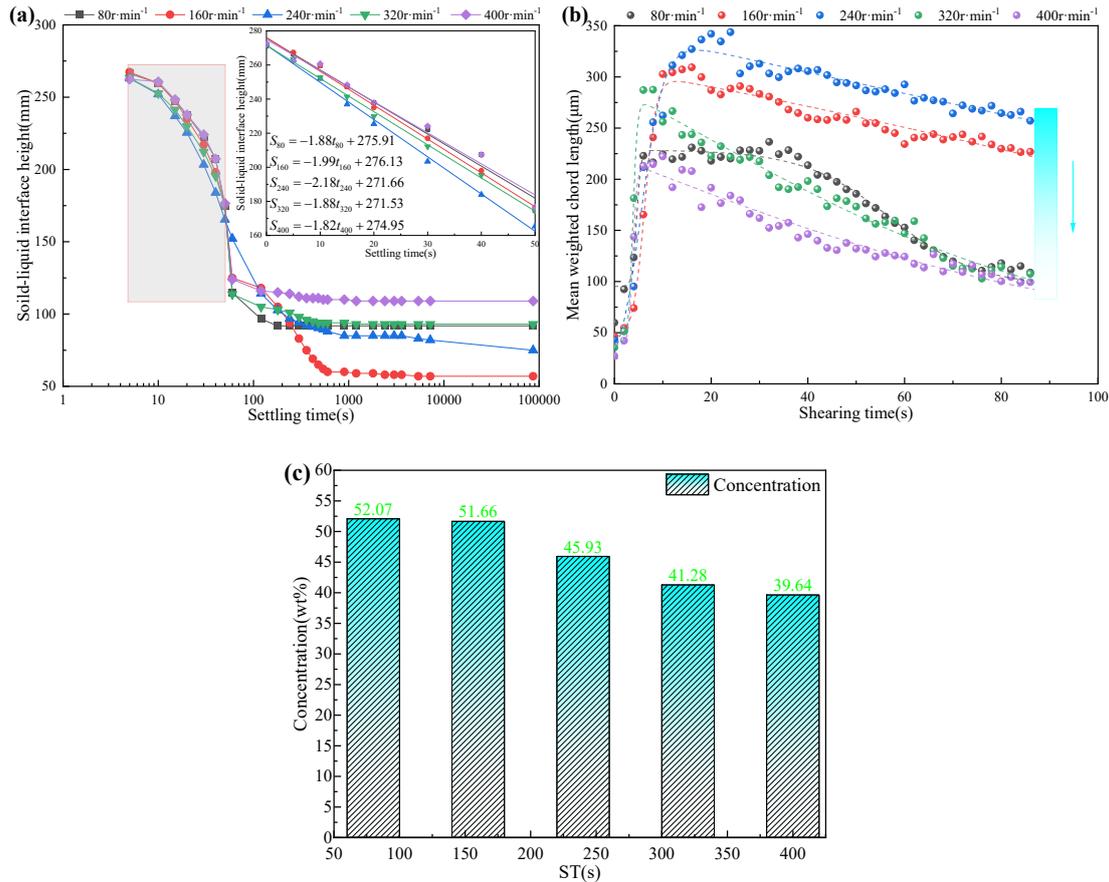
294 Fig. 5 Flocculation, sedimentation, and consolidation properties under different FSCs: (a)  
 295 Settling curves; (b) Floc size evolution; (c) Concentration

296 **3.1.4 Influence of SR on flocculation**

297 The ISRs corresponding to SRs of 80, 160, 240, 320, and 400  $r \cdot \text{min}^{-1}$  are 1.88,  
 298 1.99, 2.18, 1.88, and 1.82  $\text{mm} \cdot \text{s}^{-1}$ , respectively (Fig. 6(a)). As the SR increases,  
 299 the ISR first increases and then decreases, and the ISR corresponding to SR  
 300 of 240  $r \cdot \text{min}^{-1}$  is the highest.

301 At a shearing time of 90 s with different SRs, the MWCLs are 102.971, 226.867,  
 302 255.612, 101.864, and 94.002  $\mu\text{m}$ , respectively (Fig. 6(b)). As the SR increases,  
 303 the MWCL of flocs first increases and then decreases. The floc evolution curves  
 304 corresponding to different SRs conform to Asym2sig models (Table S1).

305 Fig. 6(c) shows that the concentrations corresponding to different SRs are  
 306 52.07, 51.66, 45.93, 41.28, and 39.64 wt%, respectively. The corresponding  
 307 concentration is the highest when SR is 160  $r \cdot \text{min}^{-1}$ . The reasonable range of  
 308 SR is 80-400  $r \cdot \text{min}^{-1}$ .



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### 3.1.5 Influence of ST on flocculation

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With the extension of ST, the settling curve moves up continuously (Fig. 7(a)). The ISRs corresponding to the different STs of 30, 60, 90, 120, and 150 s are 2.51, 2.19, 2.16, 1.91, and 1.89 mm·s<sup>-1</sup>, respectively. As the ST increases, the ISR decreases continuously.

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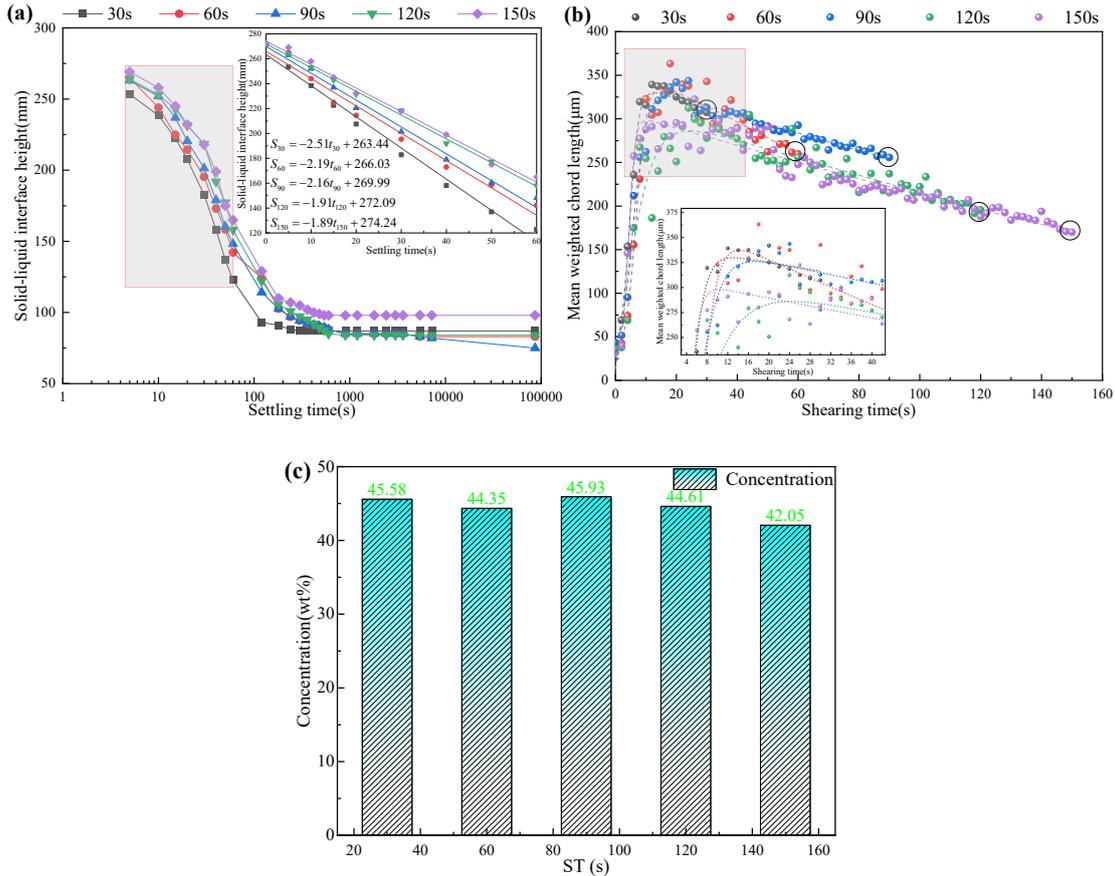
The evolution curve of MWCL moves down continuously with the increase of ST, indicating that the longer the ST, the smaller the floc size (Fig. 7(b)). The MWCLs under different shearing times are 307.448, 259.659, 255.612, 195.516, and 170.033 μm, respectively. With the prolonged ST, the MWCL decreases continuously, which also reveals the low ISR with high ST. The floc evolution curves corresponding to different STs conform to Asym2sig models (Table S1).

324

Fig. 7(c) shows that the concentrations corresponding to different STs are 45.58, 44.35, 45.93, 44.61, and 42.05 wt%, respectively, and the concentration decreases with the continuous extension of ST. The reasonable range of ST is 30-150 s.

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Fig. 6 Flocculation, sedimentation, and consolidation properties under different SRs: (a) Settling curves; (b) Floc size evolution; (c) Concentration



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Fig. 7 Flocculation, sedimentation and consolidation properties under different STs: (a) Settling curves; (b) Floc size evolution; (c) Concentration

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### 3.2 Flocculation mechanism based on floc evolution

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#### 3.2.1 ISR-MWCL analysis

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The analysis in Section 3.1 shows a direct proportion relationship between the ISR and the MWCL under different flocculation influencing factors (TFC, FSC, SR, ST) with the APAM 645S. The larger the MWCL of flocs, the higher the ISR of tailings slurry. By fitting and analyzing the relationship between them, the fitting straight lines of ISR and MWCL are shown in Fig. 8. Therefore, ISR is a linear function of the MWCL under different flocculation conditions. The  $R^2$  values of the fitted straight lines under the influencing factors of TFC, FSC, SR, and ST are 0.912, 0.970, 0.947, and 0.856, respectively, and the fitting effect is highly reliable, indicating that there is a strong correlation between ISR and floc size. Therefore, for tailings disposal, large-sized tailings flocs can help improve the efficiency of tailings treatment.

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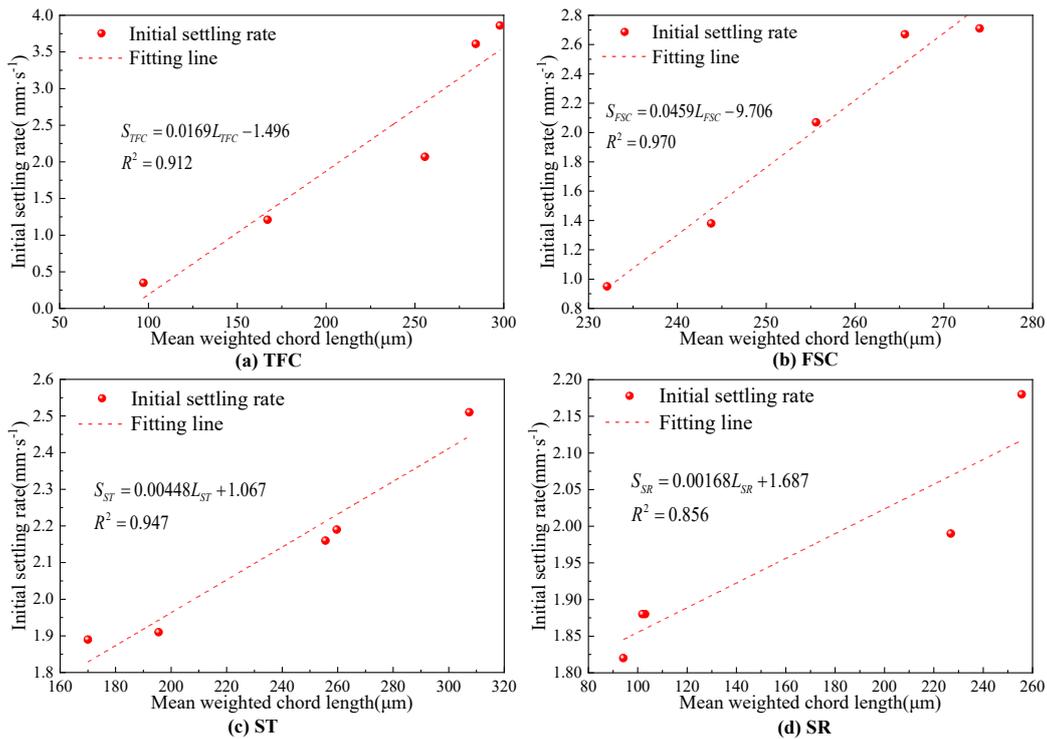
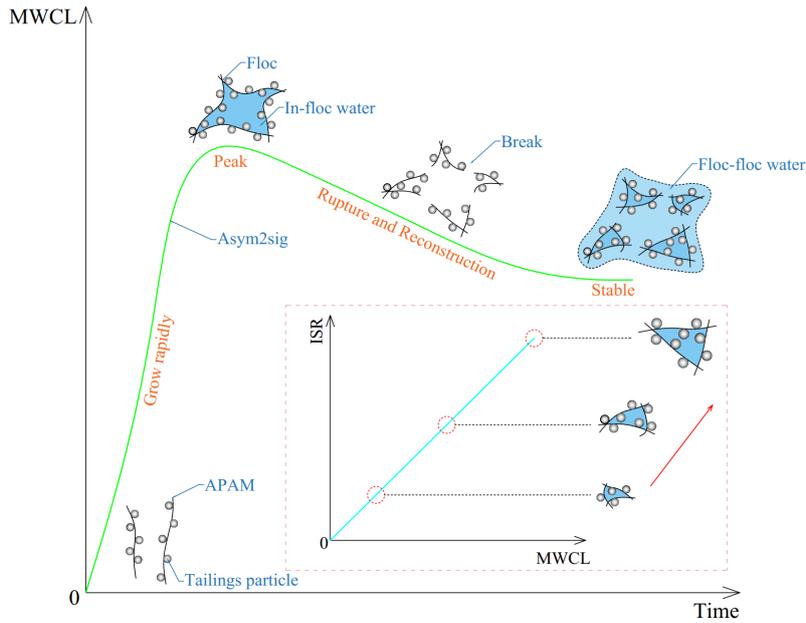


Fig. 8 ISR-MWCL correlation and characterization analysis

### 3.2.2 Time-dependent floc evolution mechanism

Section 3.1 shows that the floc size evolution has a time-dependent property, and its time dependence conforms to the peak function [48-49], which is suitable for Asym2sig models. The time dependence of flocs was analyzed (Fig. 9). The evolution process of flocs is mainly divided into three stages: growth stage, rupture and reconstruction stage, and stable stage.

- (a) Growth stage: In the growth period, the tailings particles come into contact with the polymer chains of PAMs for the first time, and the tailings particles are adsorbed on the polymer chains, forming a large-size floc through bridging, and a large amount of free water is sealed in the flocs. During this period, flocs grow rapidly in a short time and reach the peak.
- (b) Rupture and reconstruction stage: Due to continuous shearing, flocs break, and the free water sealed in flocs is released. The long polymer chains break and become shorter. Meanwhile, the shorter polymer chains are bridged to reconstruct smaller flocs, and the floc quantity increases. The rupture and reconstruction process achieves the transition of the in-floc water to the floc-floc water, making the flocs more compact.
- (c) Stable stage: When the floc strength can resist the interference of the shearing effect, the flocs reach a relatively stable size. Compared with the peak size, the flocs in the stable period are smaller. The floc size in the stable period has a decisive influence on the ISR of tailings slurry. The larger the floc size, the greater its gravity and the higher its ISR.



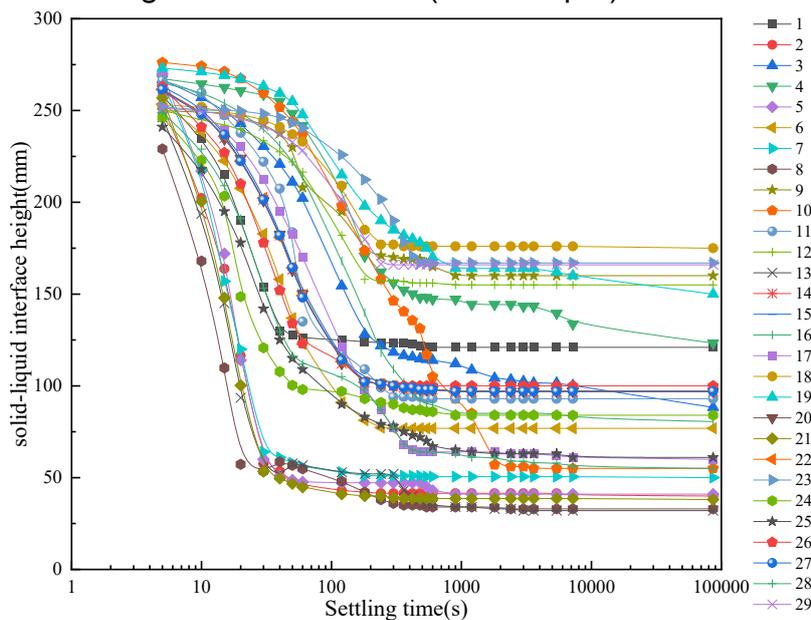
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Fig. 9 Evolution mechanism of flocs size time dependence

### 371 3.3 Multi-factor optimization of flocculation based on RSM-BBD

#### 372 3.3.1 RSM-BBD experimental results

373 The experimental results of tailings flocculation and sedimentation based on  
 374 RSM-BBD are shown in Figures 10 and 11. Fig. 10 shows the solid-liquid  
 375 interface curves of different experimental groups, while Fig. 11 shows the  
 376 MWCL evolution curves of flocs in different experimental groups. The MWCL  
 377 curves of flocs in different experimental groups meet the Asym2sig model  
 378 (fitting results are in Table S2). The corresponding response values of different  
 379 experimental groups, the concentration, and the MWCL at the end of shearing  
 380 are shown in Table 5. Group 7 has the highest concentration (59.58 wt%), and  
 381 group 22 has the largest MWCL of flocs (302.471  $\mu\text{m}$ ).



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Fig. 10 Settling curves of different experimental groups based on RSM-BBD

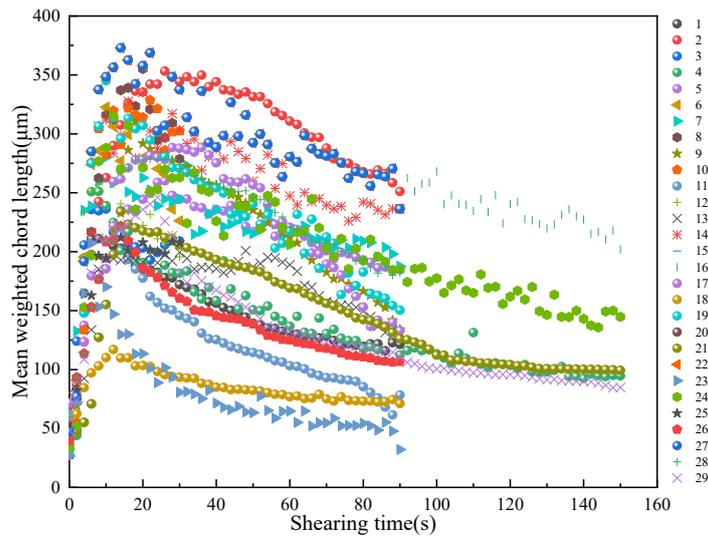


Fig. 11 MWCL evolution of different experimental groups based on RSM-BBD

Table 5 RSM-BBD experiment results

NO.	TFC (wt%)	FSC (wt‰)	SR (r·min <sup>-1</sup> )	ST (s)	Concentration (wt%)	MWCL (μm)	concentration predicted value	MWCL predicted value
1	1	0	1	0	35.825	32.123	34.58	21.013
2	0	-1	1	0	44.055	106.831	44.93	119.602
3	0	0	0	0	45.17	236.357	45.17	236.92
4	-1	0	1	0	51.149	187.602	50.933	178.191
5	0	1	1	0	43.959	121.315	43.738	145.383
6	0	1	0	1	49.485	202.028	49.488	181.767
7	-1	1	0	0	59.58	251.134	59.003	230.627
8	0	0	0	0	45.174	236.357	45.17	236.92
9	-1	-1	0	0	54.432	185.378	53.141	171.587
10	0	0	0	0	45.174	236.357	45.17	236.92
11	0	0	1	1	40.274	94.608	40.298	82.096
12	0	0	-1	1	52.853	132.971	51.634	126.448
13	1	0	-1	0	43.643	134.008	44.109	125.749
14	0	0	0	0	45.174	236.357	45.17	236.92
15	-1	0	-1	0	56.092	128.945	57.587	122.607
16	0	1	-1	0	50.048	118.342	49.366	130.791
17	-1	0	0	1	54.717	99.303	55.634	143.499
18	1	1	0	0	33.722	71.185	34.57	81.182
19	1	0	0	1	36.1826	84.825	36.768	100.681
20	0	-1	0	1	46.46	144.761	46.069	128.194
21	0	-1	-1	0	55.066	182.309	55.484	183.346
22	0	-1	0	-1	56.379	302.471	56.612	305.146
23	0	1	0	-1	45.241	226.213	45.883	224.799
24	-1	0	0	-1	55.548	278.657	55.153	287.691
25	0	0	1	-1	46.254	209.042	47.013	211.864
26	0	0	0	0	45.174	236.357	45.17	236.92
27	1	-1	0	0	47.616	150.314	47.743	166.997
28	1	0	0	-1	44.913	195.722	44.188	176.473
29	0	0	-1	-1	52.336	207.708	51.859	216.664

384  
385  
386

### 387 3.3.2 Multiple nonlinear regression model

388 The experimental data in Table 5 was analyzed using Design Expert software.  
389 Through regression analysis, multiple nonlinear regression models were  
390 established with concentration and test-ending MWCL as dependent variables  
391 (Equation (2)).

$$392 \quad Y = \beta_0 + \sum_{i=1}^n \beta_i x_i + \sum_{i=1}^n \beta_{ii} x_i^2 + \sum_{i < j} \beta_{ij} x_i x_j \quad (2)$$

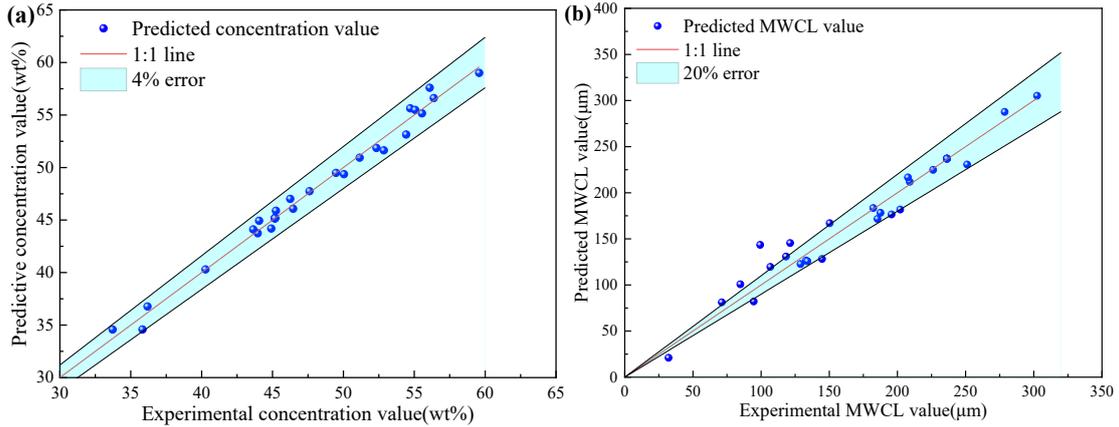
393 Where  $Y$  is the response value;  $\beta_0$  is the constant term;  $\beta_i$  is the coefficient of  
394 the first-order term;  $\beta_{ii}$  is the coefficient of the quadratic term;  $\beta_{ij}$  is the  
395 interaction term coefficient;  $n$  is the number of experimental factors. In this  
396 experiment,  $n = 4$ ;  $x_i, x_j$  are the coded values of experimental factors.

$$397 \quad \begin{aligned} Y_1 = & 0.0166x_1^2 + 0.124x_2^2 + 0.0000273x_3^2 + 0.000509x_4^2 - 0.141x_1x_2 \\ & - 0.000599x_1x_3 - 0.00439x_1x_4 + 0.00171x_2x_3 + 0.0131x_2x_4 \\ & - 0.000169x_3x_4 - 0.261x_1 - 0.892x_2 - 0.0221x_3 - 0.0752x_4 + 70.095 \end{aligned} \quad (3)$$

$$398 \quad \begin{aligned} Y_2 = & -0.953x_1^2 - 1.023x_2^2 - 0.00279x_3^2 - 0.00173x_4^2 \\ & - 1.073x_1x_2 - 0.0334x_1x_3 + 0.0380x_1x_4 + 0.0272x_2x_3 + 0.124x_2x_4 \\ & - 0.00103x_3x_4 + 38.718x_1 + 10.855x_2 + 1.790x_3 - 1.705x_4 - 170.308 \end{aligned} \quad (4)$$

399 Where  $Y_1$  is concentration, wt%;  $Y_2$  is the mean weighted chord length of flocs,  
400  $\mu\text{m}$ ;  $x_1$  is the tailings feeding concentration, wt%;  $x_2$  is the flocculant solution  
401 concentration, wt‰;  $x_3$  is the shear rate,  $\text{r}\cdot\text{min}^{-1}$ ;  $x_4$  is the shear time, s.

402 The predictive ability of the regression equation models mentioned above was  
403 verified by comparing the predicted values of the regression models (Eq. (3)  
404 and (4)) with the experimental values. The experimental values of concentration  
405 and MWCL were taken as the X-axis, and the predicted values of the models  
406 were taken as the Y-axis for analysis. Additionally, the  $Y=X$  line was used for  
407 fitting. Fig. 12(a) shows the error analysis of the concentration response model.  
408 The data points are closely distributed on both sides of the 1:1 line, the  
409 dispersion of the predicted value is small, and the error of the data points is less  
410 than 4%. Fig. 12(b) shows the error analysis of the MWCL response model. The  
411 error between the predicted value and the experimental value with the MWCL  
412 in the range of 100-150  $\mu\text{m}$  is large. The other predicted values are closely  
413 distributed on both sides of the 1:1 line, and the error of the predicted value is  
414 less than 20%. This indicates that the regression models with concentration and  
415 MWCL as response values have good predictive ability.



416

417 Fig. 12 Reliability analysis of regression models: (a) Concentration model; (b) MWCL model

### 418 3.3.3 Variance analysis

419 The variance analysis of Eq. (3) and (4) is shown in Table 6. The  $F$  values of  
 420 the equations with the concentration and the MWCL as the response values are  
 421 14.44 and 27.20, respectively, which are both greater than  $F_{0.01}(5.03)$ , and the  
 422  $p$  values are both less than 0.0001, indicating that the above regression  
 423 equations are significant. The  $R^2$  of the regression equation with the  
 424 concentration and the MWCL as the response values are 0.9890 and 0.9541,  
 425 respectively, and the  $R^2_{adj}$  are 0.9780 and 0.9083, respectively, which shows  
 426 that the factors of the regression models have a strong correlation with the  
 427 response values and also proves that the regression models are extremely  
 428 reliable.

429

Table 6 Variance analysis of concentration and MWCL models

Response value	$F$ value	$P$ value	$R^2$	$R^2_{adj}$
Concentration	14.44	<0.0001	0.9890	0.9780
MWCL	27.20	<0.0001	0.9541	0.9083

430

431 Table 7 shows the variance analysis of each item in the regression equation of  
 432 concentration and MWCL. Among them, in the variance analysis of the  
 433 concentration regression equation, the  $F$  values of each term are basically  
 434 greater than  $F_{0.01}(5.03)$ , and the  $P$  values of  $x_1, x_2, x_3, x_1x_2, x_2x_4, x_2^2$ , and the  
 435 misfitting term are all less than 0.0001, which shows that the statistical  
 436 significance is extremely strong. The  $F$  value of the first-order term indicates the  
 437 degree of influence of flocculation factors on the concentration, and the order  
 438 of influence degree is:  $x_1$  (TFC) >  $x_3$  (SR) >  $x_2$  (FSC) >  $x_4$  (ST), which shows  
 439 that TFC has the most significant influence on the concentration. The order of  
 440 the interaction terms, that is, the interaction between the influencing factors on  
 441 the concentration is as follows:  $x_1x_2$  (TFC-FSC) >  $x_2x_4$  (FSC-ST) >  $x_1x_4$   
 442 (TFC-ST) >  $x_3x_4$  (SR-ST). In the variance analysis of the regression equation  
 443 for the MWCL,  $F$  values of most terms are greater than  $F_{0.01}(5.03)$ , and  $P$  values  
 444 of  $x_3, x_4, x_1^2$ , and  $x_3^2$  are less than 0.0001. The order of influence degree of each  
 445 influencing factor represented by the first term of  $F$  values on the MWCL is as  
 446 follows:  $x_4$  (ST) >  $x_3$  (SR) >  $x_1$  (TFC) >  $x_2$  (FSC), indicating that ST has the  
 447 most significant effect on the MWCL, which also verifies that the evolution of  
 448 floc size is time-dependent. The influence degree of the interaction factors

448 represented by the interaction terms in  $F$  value on the MWCL is ranked as:  $x_1x_3$   
 449 (TFC-SR) >  $x_1x_2$  (TFC-FSC) >  $x_2x_4$  (FSC-ST).

450 Table 7 Variance analysis of each item in the concentration and MWCL regression models

Source	Adj SS		Adj MS		F		P	
	Y <sub>1</sub>	Y <sub>2</sub>	Y <sub>1</sub>	Y <sub>2</sub>	Y <sub>1</sub>	Y <sub>2</sub>	Y <sub>1</sub>	Y <sub>8</sub>
Model	1170.1 2	12138 6	83.58	8670.4 3	89.95	20.8 1	<	<
x <sub>1</sub>	346.51	2347.4 7	346.51	2347.4 7	372.9 1	5.63	<	0.0325
x <sub>2</sub>	41.03	1895.7	41.03	1895.7	44.16	4.55	<	0.0511
x <sub>3</sub>	94.65	14556. 47	94.65	14556. 47	101.8 6	34.9 3	<	<
x <sub>4</sub>	5.72	17244. 24	5.72	17244. 24	6.15	41.3 8	0.0265	<
x <sub>1</sub> x <sub>2</sub>	90.65	5247.9 2	90.65	5247.9 2	97.56	12.5 9	<	0.0032
x <sub>1</sub> x <sub>3</sub>	2.07	6443.4 3	2.07	6443.4 3	2.22	15.4 6	0.1582	0.0015
x <sub>1</sub> x <sub>4</sub>	15.6	1171.5 9	15.6	1171.5 9	16.79	2.81	0.0011	0.1158
x <sub>2</sub> x <sub>3</sub>	6.06	1538.6 4	6.06	1538.6 4	6.52	3.69	0.023	0.0753
x <sub>2</sub> x <sub>4</sub>	50.15	4457.2 3	50.15	4457.2 3	53.97	10.7	<	0.0056
x <sub>3</sub> x <sub>4</sub>	10.55	393.96	10.55	393.96	11.35	0.95	0.0046	0.3474
x <sub>1</sub> <sup>2</sup>	5.62	18638. 05	5.62	18638. 05	6.05	44.7 2	0.0275	<
x <sub>2</sub> <sup>2</sup>	40.84	2784.2 8	40.84	2784.2 8	43.95	6.68	<	0.0216
x <sub>3</sub> <sup>2</sup>	3.16	33186. 32	3.16	33186. 32	3.4	79.6 3	0.0865	<
x <sub>4</sub> <sup>2</sup>	21.82	250.52	21.82	250.52	23.48	0.6	0.0003	0.451
Residual	13.01	5834.2 3	0.93	416.73				
Misfitting term	13.01	5834.2 3	1.3	583.42	4552 23		<	0.0001
Net error	1.14E- 05	0	2.86E- 06	0				

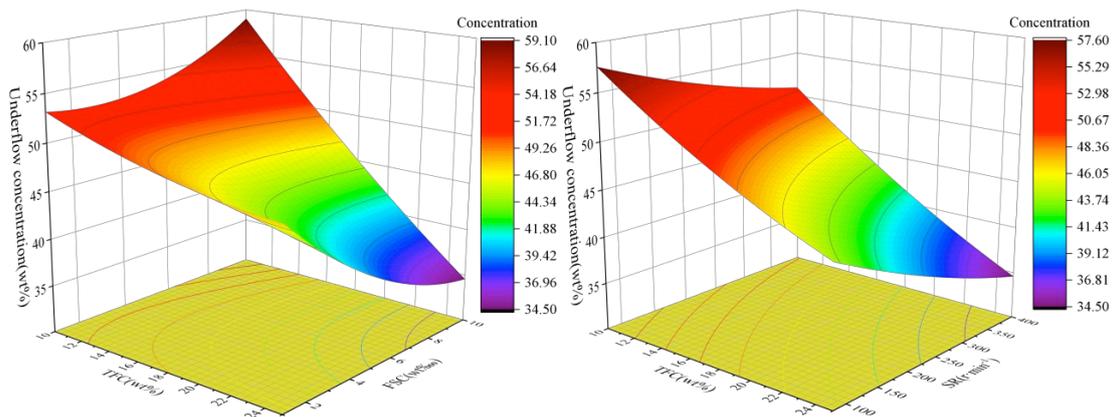
### 451 3.3.4 Interaction analysis

#### 452 (1) Interaction analysis of concentration

453 The interaction between the flocculation factors on the concentration is shown  
 454 in Fig. 13. To eliminate the influence of factors other than interaction factors,  
 455 the other two factors are taken as the middle value in the interaction analysis.  
 456 The contours of each subgraph show different degrees of curvature, indicating  
 457 that the interaction of the two factors has a significant effect on the  
 458 concentration. The following is a detailed analysis of the interaction on the  
 459 concentration.

460 Fig. 13(a) shows the interaction between TFC and FSC on the concentration,  
 461 exhibiting a large curvature, and the interaction between the two factors is  
 462 relatively significant. When TFC is 10-11 wt% and FSC is 8-10 wt‰, the  
 463 concentration reached the maximum of 59.10 wt%. When FSC drops to 8-10

464 wt‰, TFC increases while the concentration decreases. When TFC is greater  
 465 than 24 wt%, the higher the FSC, the lower the concentration.  
 466 Fig. 13(b) shows the interaction between TFC and SR on the concentration,  
 467 which generally exhibits a small curvature, mostly in a straight line, and the  
 468 interaction between the two factors is not significant. When TFC is 10-12 wt%  
 469 and SR is 80-160 r·min<sup>-1</sup>, the maximum concentration can reach 57.60 wt%.  
 470 Fig. 13(c) shows the interaction between TFC and ST on concentration, which  
 471 generally exhibits a small curvature, and there is a weak interaction between  
 472 the two factors. When TFC is 10-11.5 wt% and ST is 30-150 s, the maximum  
 473 concentration of 55.65 wt% can be obtained.  
 474 Fig. 13(d) shows the interaction between FSC and SR on concentration,  
 475 exhibiting a large curvature overall, and the interaction between them is obvious.  
 476 When FSC is 1-2 wt‰ and SR is 80-100 r·min<sup>-1</sup>, the maximum concentration  
 477 can be 55.50 wt%. When FSC is 1-2 wt‰ or 6-10 wt‰, the higher the SR,  
 478 the lower the concentration.  
 479 Fig. 13(e) shows the interaction between FSC and ST on concentration,  
 480 exhibiting a large curvature overall, and the interaction between the two factors  
 481 is remarkable. When FSC is 1-1.5 wt‰ and ST is 30-37 s, the maximum  
 482 concentration can reach 56.65 wt%. When FSC is 1-1.5 wt‰, the longer the  
 483 ST, the lower the concentration.  
 484 Fig. 13(f) shows the interaction between SR and ST on concentration, which  
 485 shows a certain curvature distribution, and the interaction between the two  
 486 factors is more obvious. When SR is 80-130 r·min<sup>-1</sup> and ST is 30-150 s, the  
 487 maximum concentration can be 51.90 wt%. When ST is 110-160 s, the higher  
 488 the SR, the lower the concentration. When SR is 360-400 r·min<sup>-1</sup>, the  
 489 concentration decreases with the extension of ST.

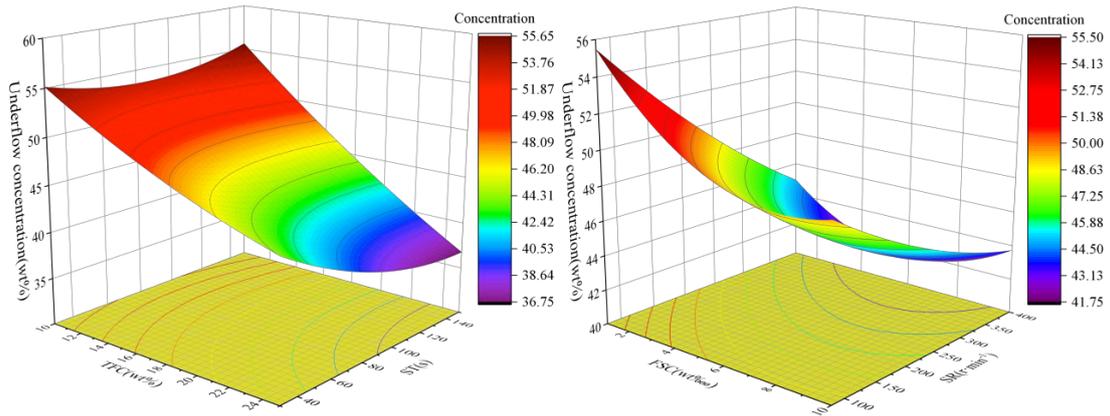


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(a) TFC-FSC

(b) TFC-SR

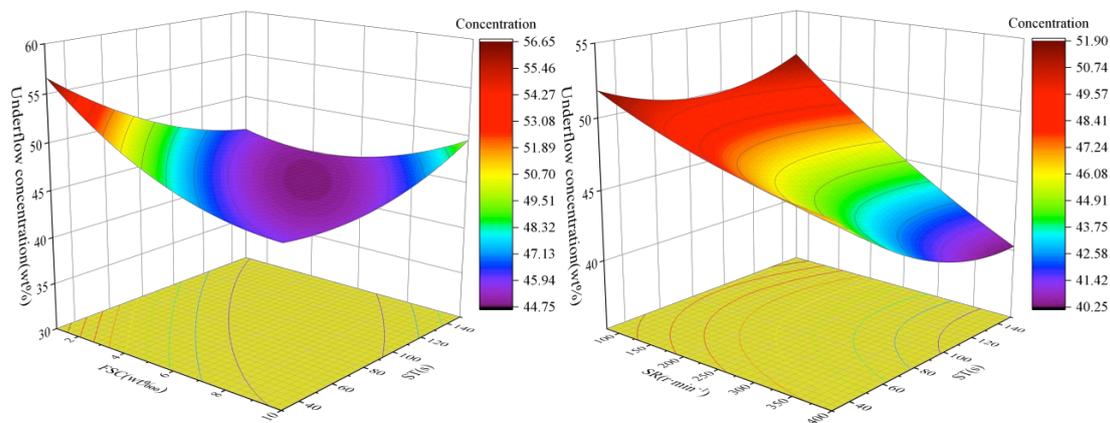


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(c) TFC-ST

(d) FSC-SR



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(e) FSC-ST

(f) SR-ST

Fig. 13 Analysis of the interaction on concentration

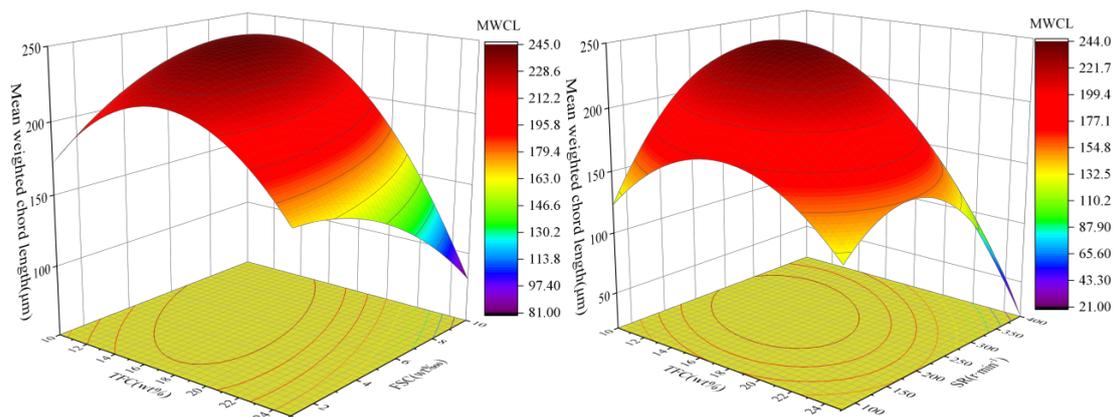
497 **(b) Interaction analysis of MWCL**

498 The interaction of flocculation factors on the MWCL of flocs is shown in Fig. 14.  
 499 Fig. 14(a) shows the interaction between TFC and FSC on the MWCL, showing  
 500 great curvature in general, and the interaction between the two factors is  
 501 extremely significant. When TFC is 10-19 wt% and FSC is 1.5-10 wt<sup>0</sup><sub>000</sub>, the  
 502 MWCL can reach the maximum value of 245 μm. When FSC is less than 6.8  
 503 wt<sup>0</sup><sub>000</sub>, with the increase of TFC, the MWCL first increases and then decreases.  
 504 Fig. 14(b) shows the interaction between TFC and SR on the MWCL, and the  
 505 contour line in the figure is basically oval, indicating that the interaction between  
 506 the two factors is extremely significant. When TFC is 10-19.5% and SR is 150-  
 507 340 r·min<sup>-1</sup>, the maximum MWCL is 244 μm. When TFC is 10-19.5 wt%, with  
 508 the increase of SR, the MWCL first increases and then decreases.  
 509 Fig. 14(c) shows the interaction between TFC and ST on the MWCL, which  
 510 generally shows a large curvature, indicating a strong interaction between the  
 511 two factors. When TFC is 10-17.5 wt% and ST is 30-48 s, the MWCL can reach  
 512 the maximum value of 300.5 μm. When TFC is less than 17.5 wt%, the MWCL  
 513 decreases with the extension of ST. As ST increases from 30-150 s, with the  
 514 increasing of TFC, the MWCL first increases and then decreases.  
 515 Fig. 14(d) shows the interaction between FSC and SR on the MWCL. The

516 contour curvature in the figure is obvious, and the interaction between the two  
 517 factors is significant. When FSC is 1-8 wt%<sub>000</sub> and SR is 150-280 r·min<sup>-1</sup>, the  
 518 MWCL can reach the maximum value of 238.5 μm. When FSC is 1-10 wt%<sub>000</sub>,  
 519 with the continuous increase of SR, the MWCL first increases and then  
 520 decreases.

521 The interaction between FSC and ST shown in Fig. 14(e) has a small volume  
 522 curvature, and the interaction between the two factors is weak. When FSC is 1-  
 523 5 wt%<sub>000</sub> and ST is 30-48 s, the MWCL can reach the maximum value of 305.5  
 524 μm. When FSC is 1-2.2 wt%<sub>000</sub>, the MWCL decreases with the continuous  
 525 extension of ST. When ST is less than 48 s or more than 130 s, the MWCL  
 526 decreases with the increasing FSC.

527 Fig. 14(f) shows that the interaction between SR and ST has a great curvature  
 528 on the MWCL, and the interaction between the two factors is remarkable. When  
 529 SR is 150-325 r·min<sup>-1</sup> and ST is 30-56.7 s, the MWCL can reach the maximum  
 530 value of 286 μm. When SR is 80-400 r·min<sup>-1</sup>, the MWCL decreases with the  
 531 continuous extension of ST. When ST is 30-150 s, the MWCL first increases  
 532 and then decreases with the continuous increase of ST.

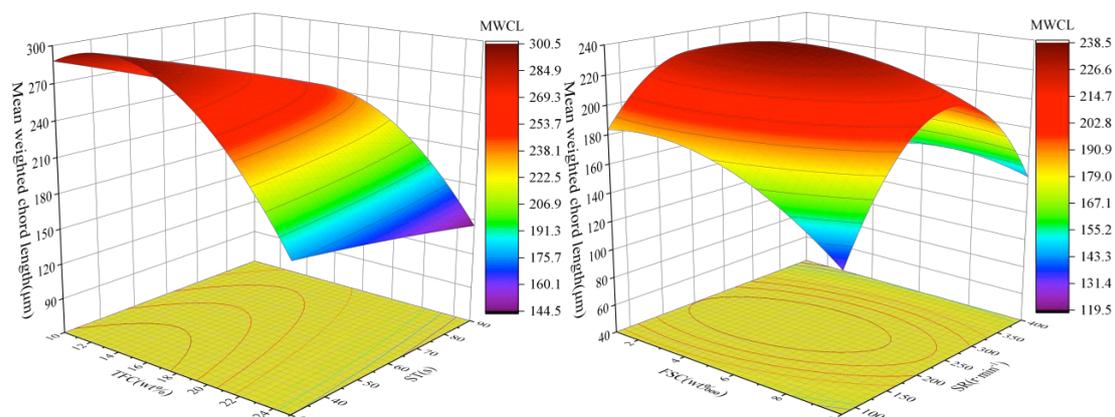


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(a) TFC-FSC

(b) TFC-SR

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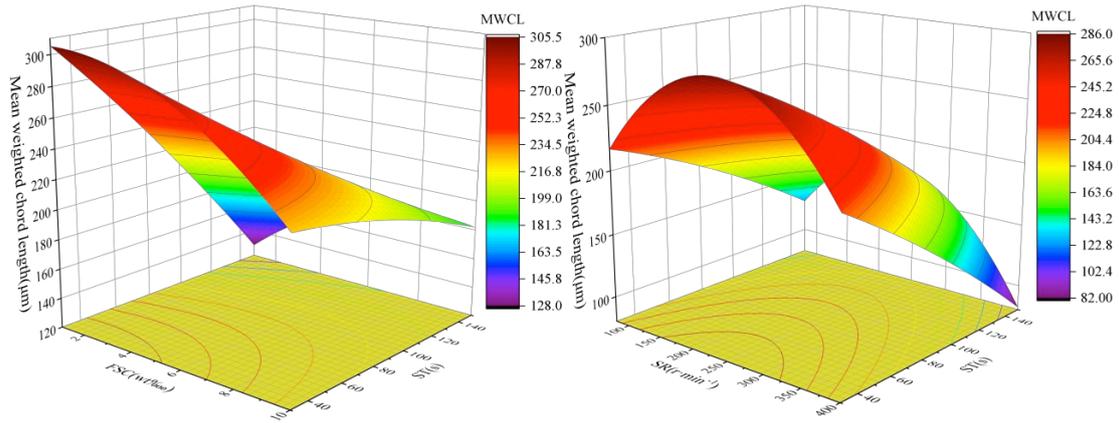


535

(c) TFC-ST

(d) FSC-SR

536



(e) FSC-ST (f)SR-ST

Fig. 14 Analysis of the interaction on MWCL

537

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539

### 540 3.3.5 Optimization results based on RSM-BBD

541 By using the optimization function in Design Expert software, the flocculation  
 542 conditions can be optimized. The ultimate goal of thickening is to obtain a higher  
 543 concentration and higher tailings processing efficiency. The processing  
 544 efficiency has a great relationship with the ISR of flocs. The larger the floc size,  
 545 the faster the ISR, and the higher the processing efficiency [13-14]. Therefore,  
 546 the optimization of flocculation conditions based on RSM-BBD aims to obtain  
 547 the highest concentration and the MWCL, and finally, obtain the optimal  
 548 flocculation conditions. Among them, TFC is 17.05 wt%, FSC is 1.00 wt‰, SR  
 549 is 166.11 r·min<sup>-1</sup>, and ST is 30 s. Under the optimal flocculation conditions, the  
 550 theoretical response values of concentration and MWCL are 58.48 wt% and  
 551 299.694 μm, respectively.

## 552 3.4 Multi-objective optimization based on RSM-BBD-DF

### 553 3.4.1 Optimization results based on RSM-BBD-DF

554 To obtain a more reliable optimal value of flocculation conditions, a nonlinear  
 555 multi-objective optimization algorithm with Desirability Function (DF) is  
 556 introduced to optimize flocculation conditions. The optimization process of  
 557 flocculation conditions based on RSM-BBD-DF is shown in Fig. 15. Firstly, the  
 558 MWCL and concentration in different experimental groups were obtained by  
 559 carrying out flocculation and sedimentation experiments with four factors (TFC,  
 560 FSC, SR, and ST) of RSM-BBD. Then, a multivariate nonlinear regression  
 561 model was established with MWCL and concentration as response values,  
 562 respectively. According to the multivariate nonlinear regression model, the  
 563 single-DF values are calculated by Eq. (5), in which Eq. (5a) is applicable to the  
 564 response variable with a higher DF value as the response value is larger. Eq.  
 565 (5b) is applicable to the response variable with a higher DF value as the  
 566 response value is smaller. Eq. (5c) is applicable to the response variable with  
 567 the optimal target value set, and the DF value with the target value closest to  
 568 the setting is greater. Based on the single-DF value of each response value,  
 569 the weighted geometric average multi-objective optimization function of each

570 single-DF value is calculated according to the overall-DF equation shown in Eq.  
 571 (6), thus the overall-DF values are obtained.

$$d_i(Y_i) = \begin{cases} 0 & (Y_i < L_i) \\ \frac{Y_i - L_i}{U_i - L_i} & (L_i \leq Y_i \leq U_i) \\ 1 & (Y_i > U_i) \end{cases} \quad (a)$$

$$d_i(Y_i) = \begin{cases} 0 & (Y_i < U_i) \\ \frac{Y_i - U_i}{L_i - U_i} & (L_i \leq Y_i \leq U_i) \\ 1 & (Y_i > L_i) \end{cases} \quad (b)$$

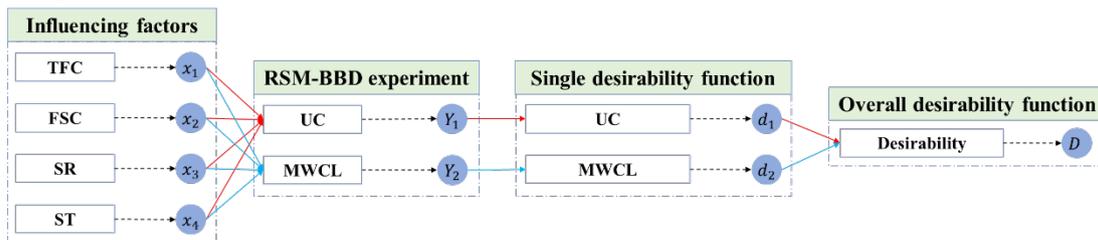
$$d_i(Y_i) = \begin{cases} 0 & (Y_i \leq L_i) \\ \frac{Y_i - L_i}{G_i - L_i} & (L_i \leq Y_i < G_i) \\ 1 & (Y_i = G_i) \\ \frac{U_i - Y_i}{U_i - G_i} & (G_i < Y_i \leq U_i) \\ 0 & (Y_i > U_i) \end{cases} \quad (c)$$

572 (5)

573 Where  $d_i$  is the DF of the  $i$ -th response value;  $Y_i$  is the  $i$ -th response value;  $L_i$ ,  
 574  $U_i$  and  $G_i$  are the lower limit, upper limit and optimal target value of the  $i$ -th  
 575 response value, respectively.

$$D = \left( \prod_{i=1}^s d_i^{e_i} \right)^{\frac{1}{\sum e_i}} \quad (6)$$

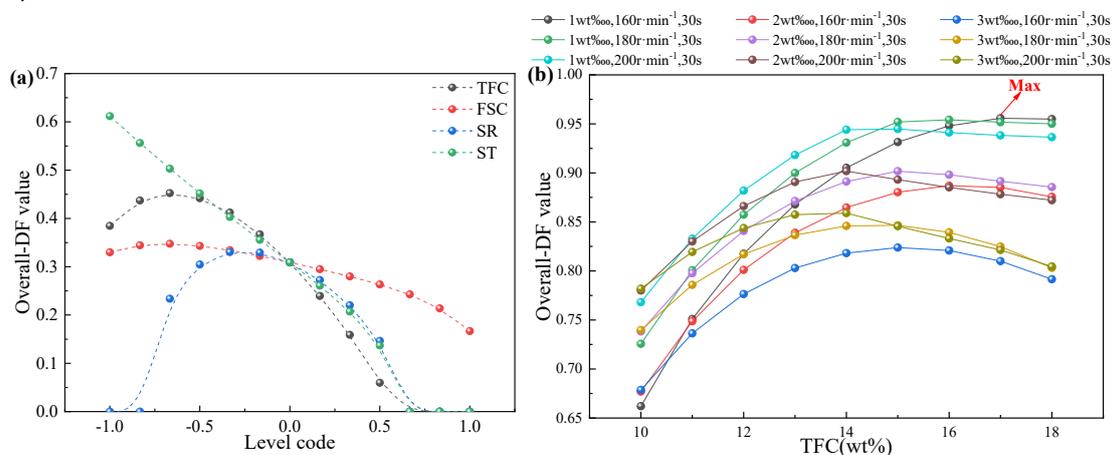
576  
 577  $s$  and  $e_i$  are the number and weight of the response value, respectively, and the  
 578 weight indicates the importance of the response value.



579  
 580 Fig. 15 Optimization process of flocculation conditions based on RSM-BBD-DF

581 Both the concentration and the MWCL of flocs are equally important to the  
 582 thickening effect, so it is considered that the weights of concentration and the  
 583 MWCL are 0.5, respectively, that is,  $e_1=e_2=5$ . Because both the concentration  
 584 and the MWCL are at their maximum, the single DF of Eq. (5a) is used to  
 585 calculate, and finally, the overall-DF values of different flocculation factors are  
 586 obtained as shown in Fig. 16(a). The ST has the greatest influence on the  
 587 overall-DF value of the thickening effect. With the continuous extension of the  
 588 ST, the overall-DF values decrease, and the highest overall-DF value (0.612) is  
 589 achieved when ST is 30 s. When TFC is 10-18 wt%, the overall-DF values first  
 590 increase and then decrease. The FSC has little effect on the overall-DF value

591 of the thickening effect and has the highest overall-DF value with 1-3 wt‰.  
 592 With the increase of SR, the overall-DF values first increase and then decrease,  
 593 with the highest overall-DF value of 160-200 r·min<sup>-1</sup>.  
 594 Based on the optimal values and ranges of the above flocculation factors,  
 595 optimize the flocculation conditions. Taking TFC as a variable, the FSC is 1, 2,  
 596 and 3 wt‰, the SR is 160, 180, and 200 r·min<sup>-1</sup>, and ST is 30 s. The influence  
 597 of multiple factors on the overall-DF values of the thickening effect is shown in  
 598 Fig. 16(b), and it is found that the highest overall-DF value (0.956) is achieved  
 599 when TFC is 17 wt%, the FSC is 1 wt‰, the SR is 160 r·min<sup>-1</sup> and ST is 30 s.  
 600 This is basically consistent with the multi-objective optimization results of  
 601 Design Expert software. Therefore, the optimal flocculation conditions are  
 602 determined as follows: TFC is 17 wt%, the FSC is 1wt‰, the SR is 160 r·min<sup>-1</sup>  
 603 <sup>1</sup>, and ST is 30 s.



604 Fig. 16 Optimization results of flocculation conditions based on RSM-BBD-DF: (a) Single  
 605 factor; (b) Multiple factors  
 606

### 607 3.4.2 Optimization results verification

608 The optimal flocculation conditions obtained above were verified. The optimal  
 609 flocculation conditions obtained by Design Expert software (TFC: 17.05 wt%,  
 610 FSC: 1 wt‰, SR: 166 r·min<sup>-1</sup>; ST: 30 s) and RSM-BBD-DF multi-objective  
 611 method (TFC: 17 wt%, FSC: 1 wt‰, SR: 160 r·min<sup>-1</sup>; ST: 30 s) were  
 612 experimentally verified using the experimental device shown in Fig. 2. The  
 613 obtained concentration and MWCL are shown in Table 8. It can be seen from  
 614 Table 8 that the experimental values under the optimal flocculation conditions  
 615 obtained by the Design Expert are basically consistent with the predicted values,  
 616 and the error is 0.91% and 2.34%. The concentration and the MWCL under the  
 617 optimal flocculation conditions obtained by RSM-BBD-DF are not much  
 618 different from those obtained by the Design Expert. It shows that the multi-  
 619 objective optimization method based on RSM-BBD-DF has high reliability for  
 620 flocculation conditions optimization.

621 Table 8 Validation of optimization results

	Predicted value	Design Expert experimental value	RSM-BBD-DF experimental value
Concentration(wt%)	58.48	57.95	58.92
MWCL(μm)	299.694	292.658	302.279

## 622 **4 Conclusion**

623 In this study, the time dependence of floc size and the relationship between ISR  
624 and MWCL were quantitatively characterized by testing floc size and  
625 concentration under different influencing factors. Through experiments based  
626 on RSM-BBD, correlation regression models were established, and the  
627 interaction of various flocculation conditions was analyzed. Finally, the optimal  
628 flocculation conditions were obtained and verified based on the RSM-BBD-DF.  
629 The conclusions can be drawn as follows:

630 (1) The evolution of floc size is time-dependent, and its evolution with shearing  
631 time accords with the Asym2sig model, which shows that floc first grows rapidly  
632 and then slowly decreases until it is stable. Floc has experienced growth,  
633 rupture, and reconstruction, and a stable period.

634 (2) There is a linear relationship between ISR and the test-ending MWCL, that  
635 is, the larger the floc size, the higher the ISR, and the mechanism of floc  
636 structure evolution is revealed from the perspective of the interaction between  
637 tailings particle and APAM polymer chains.

638 (3) Through variance analysis of the regression models of RSM-BBD, it is found  
639 that TFC has the highest influence on the concentration. ST has the greatest  
640 influence on the MWCL, indicating a strong time-dependent evolution of floc  
641 size.

642 (4) The interaction between the influencing factors shows that the interaction  
643 between TFC and FSC has the highest influence on the concentration. The  
644 interaction between TFC and SR has the highest influence on the MWCL of  
645 flocs.

646 (5) Based on the multi-objective optimization method of RSM-BBD-DF, the  
647 optimal flocculation conditions are as follows: TFC is 17 wt%, FSC is 1 wt‰,  
648 SR is 160 r·min<sup>-1</sup>, and ST is 30 s. Under these optimal flocculation conditions,  
649 the concentration is 58.92 wt%, and the MWCL of flocs is 302.279 μm.

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## 658 **Declaration of Interest Statement**

659 The authors declare no conflict of interest.

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Table S1 Asym2sig fitting parameter values and R<sup>2</sup> values corresponding to single flocculation factors

		$l_0$	$t_c$	$A$	$w_1$	$w_2$	$w_3$	$R^2$
	625S	33.556	7.566	253.751	9.393	1.117	34.533	0.977
	645S	29.253	5.066	653.819	3.752	1.472	117.620	0.961
PAM type	665S	50.632	22.699	136.437	33.419	0.005	22.500	0.933
	350E	-3.698	56.656	132.648	95.067	7.564	7.278	0.956
	6003S	51.124	31.470	315.727	50.977	0.023	45.279	0.946
	5	23.967	103.514	352.916	200.251	1.309	92.688	0.979
	10	30.967	59.054	462.904	110.215	1.411	148.878	0.989
FSC / wt%	15	2.574	5.648	676.031	1.402	2.350	160.39	0.976
	20	20.469	38.441	344.721	67.553	1.061	59.368	0.963
	25	35.775	3.649	401.457	1.204	0.831	48.144	0.967
	0.01	-5.292	86.733	453.954	168.311	1.564	177.011	0.977
	0.0325	-20.718	74.120	373.040	144.716	1.176	48.649	0.991
TFC / wt%	0.055	2.574	5.648	676.031	1.402	2.350	160.39	0.976
	0.0775	25.454	6.132	622.833	4.691	2.228	121.776	0.973

SR / (r·min <sup>-1</sup> )	0.1	26.968	60.173	297.792	109.81 8	1.01 6	37.540	0.98 5
	80	95.275	30.854	133.461	52.536	0.44 5	9.142	0.97 7
	160	44.359	48.076	347.881	83.633	1.19 3	79.492	0.99 1
	240	2.574	5.648	676.031	1.402	2.35 0	160.39	0.97 6
	320	39.511	9.866	419.410	12.093	0.46 9	40.147	0.97 3
	400	38.024	3.826	353.104	1.517	0.42 5	48.630	0.98 5
	30	25.548	62.046	376.676	114.78 7	1.48 7	73.194	0.99 3
ST/ s	60	26.107	37.499	434.930	61.275	1.76 3	56.696	0.96 8
	90	2.574	5.648	676.031	1.402	2.35	160.39	0.97 6
	120	- 201.822	1.707	1031.78 8	5.904	4.94 3	254.43 6	0.87 5
	150	20.474	4.318	569.258	7.852	1.07 2	142.58 6	0.94 7

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Table S2 Asym2sig fitting parameter values and R<sup>2</sup> values corresponding to RSM-BBD multi-factor experiments

No.	$l_0$	$t_c$	$A$	$w_1$	$w_2$	$w_3$	$R^2$
1	53.297	10.649	275.452	13.325	0.0153	50.980	0.974
2	-54.816	61.902	443.116	114.444	4.656	37.968	0.990
3	11.478	65.117	261.748	126.509	0.801	91.228	0.956
4	73.620	2.000	304.948	2.413	4.218	50.149	0.920
5	-131.227	0.565	826.086	4.166	5.883	181.086	0.970
6	66.25	18.416	216.020	29.287	0.00381	3.262	0.854
7	44.327	2.372	442.105	1.261	0.987	130.448	0.920
8	6.802	23.459	356.898	36.734	2.541	9.772	0.984
9	-142.141	15.570	862.447	24.092	5.788	86.908	0.980
10	41.841	21.49	267.794	33.968	0.798	2.696	0.982
11	49.609	8.170	305.177	1.108	1.255	32.265	0.963
12	42.482	23.704	249.701	39.452	0.0116	19.800	0.916
13	84.905	44.566	109.557	76.811	0.794	11.916	0.972
14	70.85	60.747	259.150	109.526	0.0299	51.661	0.891
15	28.445	4.191	668.848	3.278	1.374	122.034	0.943
16	37.835	4.170	629.174	9.072	1.219	144.405	0.942
17	9.039	42.032	312.770	70.992	4.492	22.793	0.986
18	30.759	2.906	159.990	2.716	1.488	70.388	0.936
19	22.274	4.430	625.044	1.589	1.062	66.248	0.972
20	28.445	4.191	668.848	3.278	1.374	122.034	0.943
21	47.606	9.135	380.512	1.938	1.778	64.849	0.985
22	28.445	4.191	668.848	3.278	1.374	122.034	0.943
23	53.426	10.349	143.733	16.681	0.00475	9.737	0.923
24	23.792	4.457	526.507	5.188	1.390	110.793	0.935
25	41.511	208.172	162.982	407.968	1.638	6.570	0.989
26	59.604	5.159	308.871	1.124	1.623	42.583	0.943
27	28.445	4.191	668.848	3.278	1.374	122.034	0.943

28	-18.615	6.385	680.644	2.521	5.433	91.616	0.985
29	79.671	5.922	343.187	2.561	1.316	34.411	0.955

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